

Prescriptive and Custom Incentives

COMMERCIAL AND INDUSTRIAL APPLICATION PACKAGE 2017



The Con Edison Commercial & Industrial (C&I) Energy Efficiency Program offers incentives for installing energy-efficient electric and gas equipment and technologies. Energy efficiency can help improve your bottom line by reducing your energy use and maintenance costs while increasing your operating efficiencies. These upgrades can also help protect the environment.

There are two programs for both gas and electric customers: the Equipment Rebate Program and the Custom Program. The application process is simple. To get started, complete a short application, and speak with one of our dedicated Energy Advisors with any questions about your energy-efficiency project. Once the project is approved and completed, 100 percent of the incentive is mailed to the customer, or directly to the Market Partner, with customer approval.

HOW TO APPLY

CHECK PROJECT AND EQUIPMENT ELIGIBILITY

All installed equipment must meet or exceed program requirements described in the Program Manual available at CONED.COM.

SUBMIT APPLICATION PACKAGE

An application package is required for all projects and includes the following items:

- Completed program application
- Signed customer proposal with pricing
- Con Edison Tool
- Cut sheets for all technical support details as specified by the Program Manual, including technical data and testing laboratory information
- W-9 of the incentive recipient.

SIGN PRELIMINARY INCENTIVE OFFER LETTER (IOL)

You will receive a Preliminary IOL. Please clearly identify a contact person who may be present during the Pre Inspection site visit and sign the document. This offer expires in 30 days if not returned completed to Con Edison.

PRE INSPECTION

Con Edison will inspect the preexisting condition of your site.

NOTICE TO PROCEED

Wait until you receive your Notice to Proceed before starting your project.

INSTALL EQUIPMENT OR PERFORM PROJECT WORK

The Notice to Proceed allows 90 days to complete your project and submit your completion paperwork. Contact the program team if you think your project will require more than 90 days. Submit your completion paperwork as soon as your project is completed. The completion paperwork includes:

- Signed Completion form
- Final project invoices and receipts

POST INSPECTION

Con Edison will inspect the new condition of your site.

RECEIVE INCENTIVE PAYMENT

Once your energy savings and incentives are finalized by the Program team, an incentive check will be mailed to you or your market partner. Only designated market partners in good standing may receive incentive payments.

Program Eligibility

To be eligible to participate in the Con Edison Commercial and Industrial Energy Efficiency Program – 2017, all five (5) of the following statements must be true:

1. **No equipment is installed** until the customer returns a signed preliminary incentive offer from Con Edison, allows for a pre-installation inspection and receives a notice to proceed.
2. The Con Edison customer of record listed on this application is a directly metered commercial or industrial customer who pays the System Benefits Charge (SBC).
3. The customer listed below has not received an incentive from NYSEDA or another utility for the same measure(s).
4. The project is completed at an existing facility (new construction is not eligible for this program).
5. If the incentive check is being paid to the customer, the names on the customer's Con Edison account and W9 must match.

If you have any questions regarding your eligibility for this program, please contact us by email at commercial@coned.com

Con Edison Account Holder Information

| | | | |
|--|------------|---------------------------------------|-----|
| Account Name <i>(as shown on your Con Edison bill)</i> | | Con Edison Account Number (15 Digits) | |
| Contact Name | Title | Email | |
| Mailing Address | City | State | Zip |
| Office Phone | Cell Phone | | |
| How did you hear about the program? <input type="checkbox"/> Contractor <input type="checkbox"/> Event/Seminar <input type="checkbox"/> Email <input type="checkbox"/> Online Search <input type="checkbox"/> Sales Rep <input type="checkbox"/> Other: | | | |
| <input type="checkbox"/> If the incentive check should be sent to the account holder at a different mailing address than shown above, please mail the incentive check to: | | | |
| Contact Name | | | |
| Mailing Address | City | State | Zip |

Site Information

| | | | | |
|--|------------------|------------|-------|------------------------------|
| Service Address | | City | State | Zip |
| Square Footage | Number of Floors | Year Built | | |
| Building Heating Fuel Source (check all that apply): <input type="checkbox"/> Electric <input type="checkbox"/> Steam <input type="checkbox"/> Oil <input type="checkbox"/> Gas <input type="checkbox"/> Other: | | | | <input type="checkbox"/> CHP |

Market Partner *(Contractor) Information (if applicable)*

| | | | |
|-----------------|------------|-------|-----|
| Company Name | | | |
| Contact Name | Title | Email | |
| Mailing Address | City | State | Zip |
| Office Phone | Cell Phone | | |

Payee Authorization

(If your Market Partner is in good standing with Con Edison, you may elect to have the incentive check paid directly to your Market Partner. If you elect this option, please check the box below and sign where indicated.)

☐ I authorize the incentive check to be paid directly to the Market Partner/Contractor referenced in the Market Partner/Contractor section. If this box is checked, you must sign here; this signature must match the signature at the end of this application. Only the Con Edison Account Holder may sign and submit this application.

Account Holder Name: (please print):

Account Holder Signature:

Date:

Proposed Project Information

Check One:

☐ Gas ☐ Electric

What systems are associated with the proposed project?

- | | | | |
|--|-----------------------------------|--|---|
| <input type="checkbox"/> Lighting | <input type="checkbox"/> Furnaces | <input type="checkbox"/> Building Controls and EMS | <input type="checkbox"/> Pumps, Fans, Piping, and Ducts |
| <input type="checkbox"/> Lighting Controls | <input type="checkbox"/> Boilers | <input type="checkbox"/> Compressed Air | <input type="checkbox"/> Domestic Water Heating |
| <input type="checkbox"/> Process Upgrades | <input type="checkbox"/> VFDs | <input type="checkbox"/> Building Envelope | <input type="checkbox"/> Other (please specify below) |
| <input type="checkbox"/> Motors | <input type="checkbox"/> Chillers | <input type="checkbox"/> Refrigeration | |

Summary of Scope:

Application Requirements

- ☐ W-9 of the incentive recipient
- ☐ Con Edison Tool
- ☐ Customer proposal/SOW that includes pricing and customer signature
- ☐ Cut sheets as specified in the Program Manual

Estimated Installation / Completion Date

Start Date:

Completion Date:

Terms and Conditions

ELIGIBILITY: Consolidated Edison Company of New York, Inc.'s (Con Edison's) Commercial and Industrial Efficiency Program offers incentives to directly metered electric and gas nonresidential customers in good standing who contribute to the EE Tracker. EE Tracker is a New York State-mandated fund for initiatives focused on environmental and other public policy programs such as energy efficiency. Incentives are available to prop-erty owner/lease holder (tenant)/property manager for the purchase and installation of energy-efficiency measures at the location where the qualifying project is to be installed. Con Edison will not offer financial incentives for the same eligible measure to those customers who have received financial incentives or rebates from the New York State Energy Research and Development Authority (NYSERDA) and/or another electric or gas utility company. Accordingly, customer represents and warrants to, and covenants and agrees with, Con Edison that it has not received and will not receive any financial incentive or rebate from any other person or entity with respect to the measure(s) identified in this Application and in any Preliminary Incentive Offer or Notice to Proceed issued by Con Edison in connection herewith.

QUALIFYING PROJECTS: Qualifying projects are projects eligible for program incentives that include electric or gas energy-efficiency measures identified as eligible and site-specific custom energy-efficiency measures approved by Con Edison. Qualifying projects do not include any electric or gas energy-efficiency measures or energy-efficiency equipment or services purchased, contracted for, or installed prior to the date of Con Edison's Preliminary Incentive Offer, the date of any required pre-installation inspection or the date of customer's receipt of a Notice to Proceed.

Financial Incentives: The basis for determining the amount of the financial incentive for which qualifying projects are eligible are set forth in the New York Standard Approach for Estimating Energy Savings from Energy Efficiency Measures in Commercial and Industrial Programs, as in effect from time to time. Con Edison's determination of incentive amounts is final.

APPLICATION AND WORKSHEETS: Eligible customers must submit completed applications and other required documentation as described elsewhere in this application for all proposed qualifying projects. Electronic signatures will have the same force and effect as original signatures.

APPLICATION REVIEW AND INSPECTION: Con Edison will review all applications and accompanying documentation for eligibility, completeness, and accuracy. As part of this review, Con Edison may conduct an on-site inspection of a facility's existing equipment and systems. After completing review of the application and determination of eligibility, Con Edison will send a Preliminary Incentive Offer to each qualifying customer accepted for the program, specifying the estimated incentive amount. The customer must sign and return the Preliminary Incentive Offer that includes an estimated project end date. If any proposed project does not meet program requirements, Con Edison will notify the customer that its Preliminary Incentive Offer has been rejected. To be eligible for an incentive, a customer must receive and counter-sign a Notice to Proceed from Con Edison, and any required pre-installation inspection must be completed, before customer orders, purchases, or installs qualifying equipment. Con Edison reserves the right to reject any estimates. Customer understands that the estimated incentive amount identified in any Notice to Proceed is an estimate only and may not reflect the actual amount payable to customer upon completion of a qualifying project.

INSTALLATION TIME LIMITS: Installation time limits will be stated in

the Notice to Proceed. Any changes to specified time limits must be requested in writing and agreed to by Con Edison in writing. Failure to meet approved deadlines may result in denial of incentive payments. Con Edison may elect to conduct pre- and/or post-installation inspections.

FINAL INSPECTION AND INCENTIVE PAYMENT: When installation is completed, customers must notify Con Edison in writing and submit invoices specifying the quantity and cost of all materials purchased and installed, the date ordered, the date purchased, the date delivered, installation costs, and applicable taxes. Con Edison, in its sole discretion, may schedule and conduct a post-installation inspection. The actual incentive amount will be determined based upon the inspection and may vary from original estimates. Incentive checks will be sent approximately six weeks after receipt of all proper invoices and verification of all installations. Checks will be payable directly to the Con Edison account holder unless otherwise indicated in the Payee Authorization section of this application. Customer agrees that Con Edison may provide customer information including customer name, account number, electric and/or gas consumption data, and electric and/or gas energy savings to its third party evaluation contractor for program evaluation purposes. The evaluation contractor will keep customer information confidential. Customer information may also be provided to the New York State Public Service Commission. Any customer information provided to the New York State Public Service Commission will be aggregated with information about other customers and not personally identifiable.

TAX LIABILITY and CREDITS: Con Edison is not responsible for any taxes which may be imposed on the customer or business as a result of projects installed under this program. The incentive check recipient may want to consult a tax advisor about any tax consequences of this offer. The incentive check recipient must provide a valid federal tax ID number and a W-9 form.

REMOVAL of EQUIPMENT: The applicant agrees, as a condition of participation in the program, to remove and dispose at its sole cost and expense any and all equipment or materials that are replaced or removed in accordance with all applicable laws, rules, and regulations.

DISPUTES: Con Edison will have sole discretion to decide on the final resolution of any issues including but not limited to energy savings, projects, eligibility, or incentives.

PROGRAM CHANGES: Con Edison reserves the right to change, modify, or terminate this program at any time without any liability except as expressly stated herein. Con Edison will honor all written commitments made in the Notice to Proceed sent to customers prior to the date of any change, modification or termination of this program, provided that project installations are fully completed within the time specified in the Preliminary Incentive Offer and Notice to Proceed.

PROGRAM EXPIRATION: This program will expire December 31, 2017, when funds are depleted, or when the program is terminated, whichever occurs first or as determined by program management.

DISCLAIMER: Customer acknowledges and agrees that Con Edison shall not be liable to customer or any other person or entity in connection with any qualifying project undertaken by customer, including in connection with Con Edison's review or approval of this application and/or worksheets. Con Edison makes no representation or warranty, and undertakes no responsibility whatsoever concerning the adequacy of any project design or plan, any construction or installation work, the

completion of any project or the performance of any energy efficiency measures or equipment. To the fullest extent permitted by law, customer, on behalf of itself and any other person or entity claiming by and through customer, hereby irrevocably and unconditionally releases and forever discharges, and agrees to defend, indemnify, and hold harmless Con Edison, its affiliates, and their respective past, present and future officers, directors, trustees, stockholders, employees, agents, representatives, successors and assigns, from any and all claims, charges, complaints, causes of action, damages, losses, agreements and liabilities of any kind or nature arising from the design, installation or performance of any energy efficiency measure or equipment purchased or installed in connection with the program (including without limitation, claims for personal injury, death or property damage) or related to energy costs incurred by customer, including, without limitation, attorneys' fees, court costs and costs of experts. Accordingly, Con Edison, its representatives recommend that all customers consider engaging qualified engineers or other qualified consultants to evaluate the risks and benefits, if any, of such implementation and use on energy consumption, cost savings, or operation of customers' facilities.

INSTALLATION REQUIREMENTS: Customer assumes sole responsibility for installation work. Customer acknowledges that all work must be in full compliance with the requirements of applicable laws, rules, and regulations of authorities having governmental and regulatory jurisdiction.

Application Requirements

I certify that all statements made in this application package (including supplemental documents) are true and correct to the best of my knowledge. I agree to the terms and conditions of the program set forth in this application. I understand that if any services performed or equipment intended to be used in connection with the proposed project listed on this application are ordered, purchased, or installed before written approval is received from Con Edison in the form of a Notice to Proceed, the proposed project will not qualify for an incentive. I also understand that my right to receive any incentive payment is conditioned upon the truth and accuracy of the representations and warranties I have given herein and my compliance with program requirements.

| | | |
|---------------------------------------|-------|------|
| Customer Name (<i>please print</i>) | Title | Date |
| Signature | | |